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④AUG 30 2004
UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/825,323 | 04/16/2004 | Noriaki Shimizu | 252064US2 |

CONFIRMATION NO. 7886

22850
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.
1940 DUKE STREET
ALEXANDRIA, VA 22314

FORMALITIES LETTER



OC000000013080515

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 1 - 11.

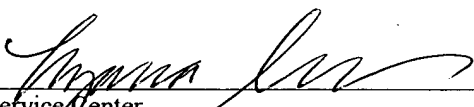
SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 for English translation surcharge required.

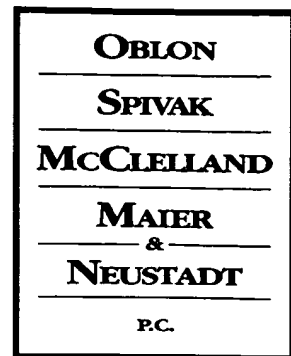
Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



ATTORNEYS AT LAW

ATTN: APPLICATION BRANCH

**THIS IS A RESPONSE TO A
NOTICE TO FILE MISSING
PARTS OF AN APPLICATION**

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

Re: Inventor: Noriaki SHIMIZU, et al.
Serial No: 10/825,323
Filed: April 16, 2004
For: SYSTEM AND METHOD FOR PROCESSING A SUBSTRATE AND
PROGRAM THEREFOR

SIR:

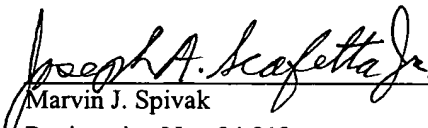
Attached hereto for filing are the following papers:

Notice to File Missing Parts
Letter Submitting Replacement Drawing Sheet(s)/Replacement Sheets (11)
Certified Translation (69 pages)
Filing of Certified English Translation
Certification of English Translation
Copy of Fee Transmittal with Copy of Filing Receipt filed April 16, 2004

Our check in the amount of \$0.00 is attached covering any required fees. In the event that any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Marvin J. Spivak
Registration No. 24,913
Joseph A. Scafetta, Jr.
Registration No. 26, 803

Docket No. 252064US2

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)



Docket No.: 252064US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Noriaki SHIMIZU, et al.

SERIAL NO.: 10/825,323

GAU:

FILED: April 16, 2004

EXAMINER:

FOR: SYSTEM AND METHOD FOR PROCESSING A SUBSTRATE AND PROGRAM THEREFOR

LETTER SUBMITTING REPLACEMENT DRAWING SHEET(S)

COMMISSIONER FOR PATENTS

Alexandria, VA 22313

SIR:

Responsive to the below indicated communication, the following drawing sheets are submitted herewith:

- ☒ 11 Replacement Drawing Sheets ☐ _____ New Drawing Sheets
- ☐ Official Action dated _____
- ☐ Notice of Allowance/Issue Fee dated _____
- ☒ Other Notice to File Missing Parts dated June 28, 2004

The changes and/or modifications made include the following:

The replacement drawing sheets are submitted to meet the Patent and Trademark Office requirement.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Marvin J. Spivak
Registration No. 24,913

Joseph A. Scafetta, Jr.
Registration No. 26, 803

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(OSMMN 06/04)

Docket No: 252064US2

AUG 30 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Noriaki SHIMIZU, et al.

SERIAL NO: 10/825,323

ATTN: BOX MISSING PART

FILED: April 16, 2004

FOR: SYSTEM AND METHOD FOR
PROCESSING A SUBSTRATE AND
PROGRAM THEREFOR

FILING OF CERTIFIED ENGLISH TRANSLATION UNDER 37 CFR 1.52(d)

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

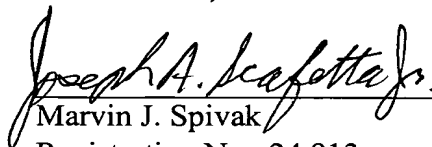
Responsive to the Notice to File Missing Parts of Application (Form PTO-1533) dated June 28, 2004, Applicants submit herewith a certified English translation of the application, as filed, in accordance with the provisions of 37 C.F.R. §1.52(d).

The required fee was paid at the time of filing of the application. **The attached copies of our Fee Transmittal and date stamped filing receipt show no fee is due.**

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER &
NEUSTADT, P.C.


Marvin J. Spivak

Registration No. 24,913

Joseph A. Scafetta, Jr.

Registration No. 26, 803

Customer Number

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Fax. (703) 413-2220

AUG 30 2004

Docket No. 252064US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Noriaki SHIMIZU, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: SYSTEM AND METHOD FOR PROCESSING A SUBSTRATE AND PROGRAM THEREFOR

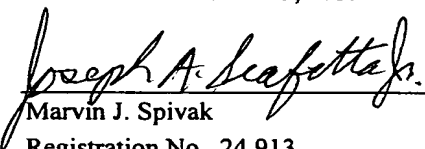
FEE TRANSMITTAL

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|---|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS | 30 - 20 = | 10 | x \$18 = | \$180.00 |
| INDEPENDENT CLAIMS | 5 - 3 = | 2 | x \$86 = | \$172.00 |
| <input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable) | | | + \$290 = | \$290.00 |
| <input type="checkbox"/> LATE FILING OF DECLARATION | | | + \$130 = | \$0.00 |
| BASIC FEE | | | | \$770.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | \$1,412.00 |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY | | | | \$0.00 |
| <input checked="" type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE | | | + \$130 = | \$130.00 |
| <input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT | | | + \$40 = | \$40.00 |
| TOTAL | | | | \$1,582.00 |

☐ Please charge Deposit Account No. 15-0030 in the amount of \$0.00. A duplicate copy of this sheet is enclosed.☐ A check in the amount of \$0.00 to cover the filing fee is enclosed.☒ Credit card payment form is attached to cover the filing fee in the amount of \$1,582.00☒ The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.Date: August 30, 2004
Marvin J. Spivak

Registration No. 24,913

Joseph A. Scafetta, Jr.
Registration No. 26, 803

Customer Number

22850

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(OSMMN 05/03)

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[Handwritten signature]

OSMM&N File No. 252064US2

Dept.: IP-I/JF

By: MJS/E/M/abd

Serial No. New Application

In the matter of the Application of: Noriaki SHIMIZU, et al.

For: SYSTEM AND METHOD FOR PROCESSING A SUBSTRATE AND
PROGRAM THEREFOR

Due Date: 04/16/04

The following has been received in the U.S. Patent Office on the date stamped hereon:

- 44 pp. Specification 26 Claims/Drawings 11 Sheets and
3 Pages Application Data Sheet
- Combined Declaration, Petition & Power of Attorney 3 Pages
- Utility Patent Application Transmittal
- Credit Card Form for \$1,582.00 ■ Dep. Acct. Order Form
- Fee Transmittal Form
- Assignment/PTO 1595 Pages: 3
- White Advance Serial Number Card
- Statement Re Filing in Foreign Language



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